

Internal Auditor's Report

Simon Lamb

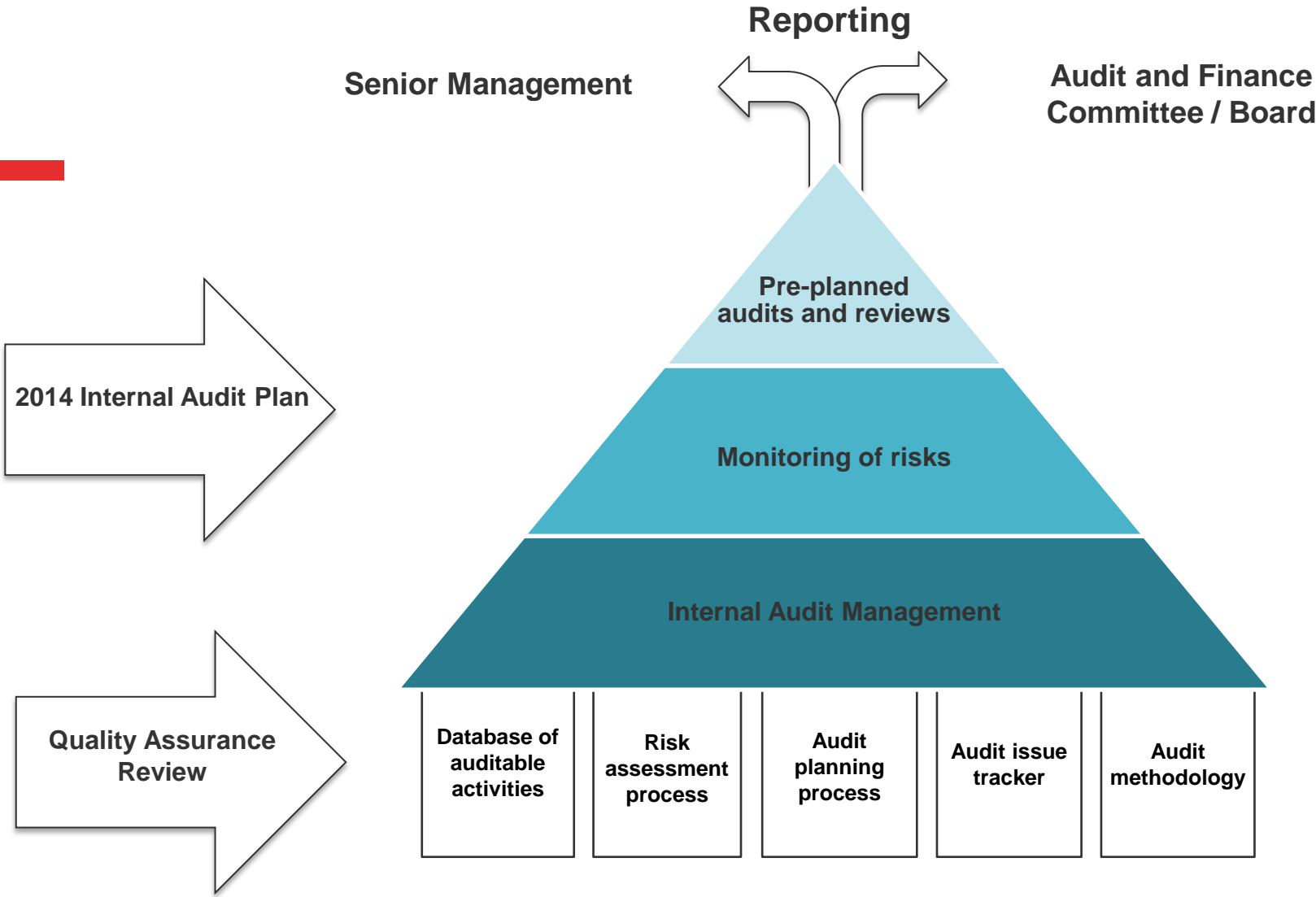
*GAVI Alliance Board meeting
Geneva, Switzerland
18-19 June 2014*



Four key messages

- Strengthening the institution of Internal Audit
- Resourcing the Internal Audit and Programme Fiduciary Oversight functions
- Providing assurance through programme review
- Developing the whistleblower reporting capability

Strengthening the institution of Internal Audit



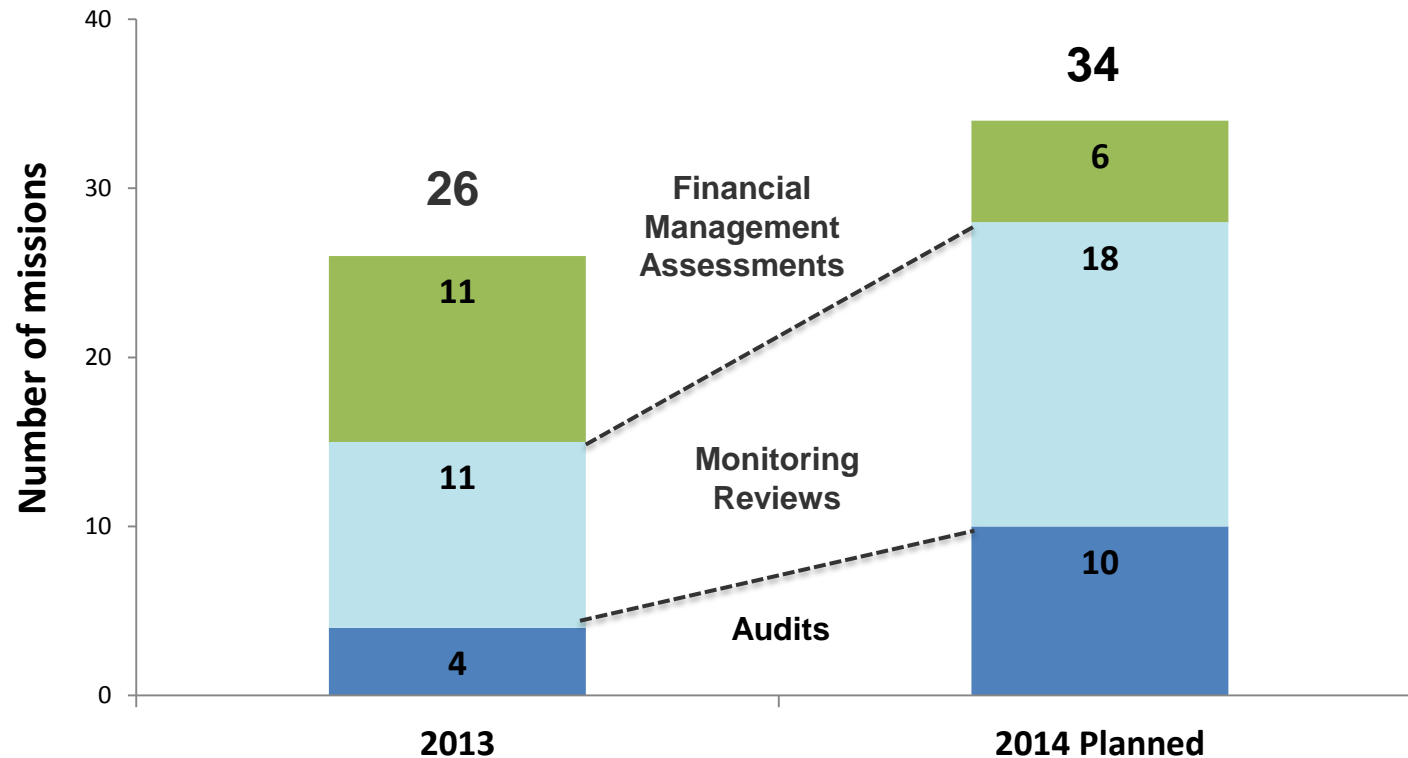
Resourcing Internal Audit and Programme Fiduciary Oversight functions

Resourcing 2014, 2015 and beyond *

| Activity Drivers | 2014 | | 2015 and beyond: 5 year view | |
|-------------------------------|---------------------------------|-------------------|---|--------------------------------------|
| | Current resourcing | Adequacy for 2014 | Risk coverage | Additional headcount to be requested |
| Internal Audit | 1 headcount + consulting budget | ✓ | Appropriate coverage of major areas of risk (43 key processes) | + 1 headcount |
| Programme Fiduciary Oversight | 7 headcount + consulting budget | ✓ | Appropriate coverage of programmes receiving material cash grants (covering 44 countries) | + 2 headcount |

* Reviewed and endorsed by AFC, April 2014

Providing assurance through programme review, 2013 and 2014*



The work of the Programme Fiduciary Oversight team includes investigatory work as necessary (not shown here) plus significant financial management support to the Country Programmes team

*2014 plan execution is currently being reviewed

Developing the whistleblower reporting capability

- Continue to receive reports of a whistleblower nature
- Relevant to programme execution and other GAVI activities
- Provide insights on situations that may be unknown to us
- Follow-through, as appropriate, is key and resource-intensive
- Developing whistleblower web-reporting capability
 - Contract signed with supplier
 - Currently specifying GAVI's requirements
 - Anticipate launch in next 1-2 months



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