

**Annex C:**  
**Gavi Policy: Fragility, Emergencies, Refugees**  
**Monitoring Framework**  
**DRAFT**

Implementation of the Gavi Policy on Fragility, Emergencies and Refugees will be monitored by the Secretariat

This framework is a first attempt to outline outcomes and outputs that the Alliance will monitor throughout the implementation of the policy. This framework would not represent an additional reporting burden to countries and Alliance partners as it leverages existing processes and data sources. Where relevant, Alliance Key Performance Indicators (KPIs) are used. The monitoring would by and large be annual whilst the tracking of flexibilities would be done on a quarterly basis.

In addition, the Secretariat would include regular (qualitative) updates on the implementation of this policy in the Country Programmes Update to the PPC and Board.

An external evaluation of the policy is planned for 2019 as part of a broader evaluation of Gavi's Country Engagement Framework (CEF) in order to assess its effectiveness, efficiency, and results.

## 1. Monitoring Framework

#	Indicator	Indicator Definition	Data Source	Reporting Frequency
<b>Outcome 1:</b> Gavi's policy contributes to sustaining gains in emergency settings and improving results in countries affected by fragility vis-à-vis immunisation coverage and equity outcomes for the 2016-2020 strategic period				
1	National Penta 3 coverage disaggregated by (1) countries affected by fragility; (2) countries in an emergency situation; and (3) all Gavi-supported countries	The percentage of surviving infants who received the 3 <sup>rd</sup> dose of pentavalent vaccine	WHO UNICEF Estimates	Annual
2	National Measles 1 coverage disaggregated by (1) countries affected by fragility; (2) countries in an emergency situation; and (3) all Gavi-supported countries	The percentage of surviving infants who received the 1 <sup>st</sup> dose of measles vaccine	WHO UNICEF Estimates	Annual
3	Penta 1 – Penta 3 drop-out disaggregated by (1) countries affected by fragility; (2) countries in an emergency situation; and (3) all Gavi-supported countries	$(\text{Penta 1 coverage} - \text{Penta 3 coverage}) / \text{Penta 1 coverage} * 100$	WHO UNICEF Estimates	Annual
4	Number of countries, disaggregated by countries affected by fragility, in an emergency situation and all Gavi-supported countries, that have at least 80% of districts with a pentavalent 3 coverage $\geq 80\%$	The total number of emergency and/or countries affected by fragility which have at least 80% of districts with a pentavalent 3 coverage $\geq 80\%$ divided by the total number of countries	Country administrative data submitted through the Joint Reporting Form	Annual
<b>Output 1:</b> HSS support is disbursed in a timely manner				
5	Number of months between disbursing each tranche of HSS funds following approval disaggregated by (1) countries affected by fragility; (2) countries in an emergency situation; and (3) all Gavi-supported countries	Date of disbursement of each tranche of HSS funds – date of Decision Letter issuance/HLRP Approval	Gavi Finance Database Decision Letter Tracker	Annual
<b>Output 2:</b> HSS grants are implemented in a timely manner				

#	Indicator	Indicator Definition	Data Source	Reporting Frequency
6	Annual HSS cash utilisation rate disaggregated by 1) countries affected by fragility; (2) countries in an emergency situation; and (3) all Gavi-supported countries	Amount (in USD) spent during the reporting period of Gavi HSS funds divided by amount (in USD) of HSS funds budgeted for the reference year in the Gavi approved work plan	Country Financial Expenditure Reports	Annual
<b>Process 1:</b> Flexibilities are reviewed and – if granted – fully documented in a timely manner				
7	Number of flexibilities requested by countries facing fragility or an emergency in the last year	Number of flexibilities requested by countries facing fragility or an emergency in the last year. Identified flexibilities will be tracked and categorised by level of relevance.	Joint Appraisal Reports Tracker of Flexibilities	Annual for Joint Appraisal reports; Quarterly for tracker of flexibilities
8	Flexibilities that have been granted disaggregated by country	Flexibilities that have been approved in the last year disaggregated by country	Joint Appraisal Reports Tracker of Flexibilities	Annual for Joint Appraisal reports; Quarterly for tracker of flexibilities
<b>Process 2:</b> Timely technical assistance is provided to ensure activity implementation				
9	TCA absorption rate for the last year disaggregated by (1) countries affected by fragility; (2) countries in an emergency situation; and (3) all Gavi-supported countries	Total amount spent from PEF TCA budget divided by total amount disbursed disaggregated by country	PEF Portal Submissions	Annual