

## Annex B: Overview of decision process for exceptions and flexibilities in Gavi policies and guidelines

- Flexibilities in categories B and C (orange shading) are subject to the Fragility, Emergencies, Refugees Policy
- All flexibilities granted will be documented by the Secretariat in a 'Flexibilities Tracker'
- Twice per year an update on CEO-approved flexibilities will be included in the Country Programmes update to the PPC and Board
- This list is not exhaustive; other similar flexibilities as in categories B and C may be identified and will be documented and reported as per the policy

Exceptions/flexibilities	Relevant Policy/Board decision	Decision-making Authority <sup>1</sup>	Countries facing fragility <sup>2</sup>	Countries with an emergency	Country hosting refugees
<b>A. Material Exceptions to the Board-approved Eligibility &amp; Transition, and Co-Financing policies</b>					
Waiving of co-financing requirement (with supplemental provision of vaccines if there is a risk of supply shortages), repeated as needed in case of extended emergency	Co-financing Policy	PPC/Board	N/A <sup>3</sup>	N/A	N/A
<b>B. Exceptions to Board-approved policies and/or with financial implications</b>					
Bridge grant in between two HSS grants	HSIS Framework	IRC/HLRP/CEF mechanism recommendation to CEO	✓	✓	
Flexible use of Performance based funding component of HSS grant	HSIS Framework	HLRP recommendation to CEO	✓	✓	
Additional HSIS support beyond the country allocation to support recovery/rebuilding of systems post-emergency	HSIS Framework	IRC/HLRP recommendation to CEO		✓	
Additional support for operational costs of campaign delivery of routine vaccines in hard to reach areas, or for refugees	HSIS Framework	IRC/HLRP/CEF mechanism recommendation to CEO	✓	✓	✓
Additional vaccines to cover a broader age or antigen range in vaccination of refugees and for unreached populations	Board decisions on Gavi-funded vaccine programmes	IRC/HLRP/ Alliance coordination body recommendation to CEO	✓	✓	✓

<sup>1</sup> Detailed decision-making process for flexibilities in categories C and D to be finalised and confirmed as part of the development of operational guidelines

<sup>2</sup> Gavi classification

<sup>3</sup> May be decided for any country regardless of classifications under the Fragility, Emergencies, Refugees Policy

Time limited co-financing waiver (up to two years) for government-led vaccination of refugees	Co-financing Policy	IRC/HLRP/CEF mechanism recommendation to CEO			✓
Direct support to non-state actor (in lieu of government) for immunisation of unreached populations in full disclosure to government and in consultation with in-country humanitarian coordination mechanism	GAVI Support to Civil Society Organisations (June 2012 Board)	Managing Director Country Programmes recommendation to CEO in consultation with Alliance coordination body	✓	✓	✓
Accepting NVS applications for IRC review while DTP3 coverage temporarily below eligibility threshold of 70% post-emergency, following pre-review screening by the Country Programmes Team	Eligibility and Transition Policy	Managing Director Country Programmes recommendation to CEO (approval of application remains subject to IRC review)		✓	
<b>C. Flexibilities in Board-approved policies (with no financial implications)</b>					
Temporary, alternative use of HSS funding outside guidance in HSIS policy	HSIS Framework	IRC/HLRP/CEF mechanism recommendation to CEO or, where time-sensitive, Managing Director Country Programmes recommendation to CEO in consultation with Alliance coordination body	✓	✓	
Direct support to Alliance partners implementing immunisation services for refugees, with Alliance or other partners covering co-financing	Gavi Strategy	Secretariat (Country Support/IF&S/SFP Director recommendation to Managing Director Country Programmes)			✓
Flexibilities in application and/or monitoring requirements	GAMR, CEF (Dec 2016 Board)	Secretariat (Country Support/M&E Director recommendation to SFP Director and Managing Director Country Programmes)	✓	✓	
Additional TCA through the PEF within existing envelopes	PEF	PEF Management Team recommendation to CEO	✓	✓	
<b>D. Flexibilities in Secretariat guidelines and operational guidelines (example)</b>					
Needs-based Reprogramming of HSS grant beyond thresholds specified in operational guidelines and no-cost extensions	Operational Guideline	IRC/HLRP/CEF mechanism recommendation to CEO	✓	✓	✓