

Annex A: Recut of A&I 2020 plan

1 Current audit run-offs	2 Audits internal to the Secretariat	3 Counter-fraud strategy action plan	4 EQA follow up	2020 plan activities to be deferred
<p>Finalisation of the following engagements carried over:</p> <ul style="list-style-type: none"> • Programme Audits from six countries; • Programme Management Unit advisory; • HSIS Audit; • Data Quality Audit; • PCA; • ERM; • Supplier selection and contract management; • PEF Secretariat processes; • Co-financing and transition; • Incident Management; • Market Shaping; • <u>Pentana MKI</u>. 	<p>Audits and reviews that can be conducted internally:</p> <ul style="list-style-type: none"> • Gavi top risks mitigation; • Risk and control culture; • Grant oversight by CS; • SAP post-implementation and segregation of duties; • CP external audits process; • Vaccine supply chain management; • PEF/TCA activities (excluding country missions) • IT projects management; BCP/DRP; Data security; • Expenses claims and reimbursements; • Review of recommendations implementation. 	<p>Counter-fraud activities:</p> <ul style="list-style-type: none"> • Assessing fraud risks with Management and staff; • Fraud risk prioritisation; • Develop a counter-fraud policy; • Review of partnership contracts – lacunae, legal changes, etc. • Counter-fraud course development and training; • Gavi hotline assessment. 	<p>EQA observation follow-through:</p> <ul style="list-style-type: none"> • Updating A&I ToRs; • Website disclaimers; • Counter-fraud independent assessment; • Streamlined Audit Planning; • Contribute to 3LOD review; • Analysis of root causes, and link broad themes to top risks. • Preparation for review of: Whistleblowing; Counter Fraud and Investigations; • Post audit surveys; • Reengineer audit reports; • A&I <u>quadriennial</u> report. 	<p>The following A&I 2020 plan activities will be deferred:</p> <ul style="list-style-type: none"> • Nigeria accountability framework; • Development of a country-level Counter-Fraud Risk Assessment toolkit; • Execution of country-level programme audits in: <ul style="list-style-type: none"> • India; • Kenya; • Malawi; • Afghanistan; • Mali; • Ivory Coast; • Chad.