

HE Dr Fawziya Abikar Nur
Minister of Health and Human Service
Federal Ministry of Health and Human Service
Mogadishu
Somalia

22 December 2017

Decision Letter: Request for 2018 Renewal of Gavi support for Pentavalent and Inactivated Polio Vaccines

Your Excellency,

I am writing in relation to Somalia's request for renewal of the New Vaccine Support (NVS) for Pentavalent Vaccine and Inactivated Polio Vaccine (IPV), which was reviewed by the High Level Review Panel on 4-5 October 2017.

Following the recommendations made by the Panel, I am pleased to inform you that Gavi has **approved** Somalia's request to renew Gavi support for Pentavalent Vaccine and Inactivated Polio Vaccine for 2018, subject to several conditions and requirements as specified in the Appendices to this letter.

The Appendices include the following important information:

- Appendix A: Somalia Support for Pentavalent Vaccine
- Appendix B: Somalia Support for Inactivated Polio Vaccine
- Appendix C: Somalia Support for Injection Safety Devices
- Appendix D: Description of Gavi support to Somalia
- Appendix E: Gavi Terms and Conditions
- Appendix F: High Level Alliance Review Panel Recommendations

Please do not hesitate to contact my colleague Dr Rehan Hafiz, Senior Country Manager for Somalia, at rhafiz@gavi.org if you have any questions or concerns.

Yours sincerely,



Hind Khatib-Othman
Managing Director, Country Programmes

cc: The Minister of Finance
The EPI Manager
WHO Country Representative
UNICEF Country Representative
Regional Working Group
WHO HQ
UNICEF Programme Division
UNICEF Supply Division

**Decision letter
Somalia - Support for Pentavalent Vaccine**

This Decision Letter sets out the Programme Terms of a Programme.

1. Country: Somalia					
2. Grant number: 1720-SOM-04c-X / 13-SOM-08a-Y					
3. Date of Decision Letter: 22/12/2017					
4. Date of the Partnership Framework Agreement: Not applicable.					
5. Programme title: New Vaccine Support (NVS), Pentavalent Routine					
6. Vaccine type: Pentavalent					
7. Requested product presentation and formulation of vaccine: DTP-HepB-Hib, 10 doses per vial, LIQUID					
8. Programme duration¹: 2013 -2020					
9. Programme Budget (indicative): (subject to the terms of the Partnership Framework Agreement, if applicable)					
	2013-2017	2018	2019	2020	Total²
Programme Budget (US\$)	9,937,237 ³	798,500	860,500	903,500	12,499,737
10. Vaccine introduction grant (in US\$): US\$ 114,500 disbursed on 20 August 2012 and US\$190,000 disbursed on 06 March 2013.					
11. Indicative Annual Amounts: (subject to the terms of the Partnership Framework Agreement, if applicable) ⁴					
Type of supplies to be purchased with Gavi funds in each year		2013-2017	2018		
Number of Pentavalent vaccines doses			1,027,000		
Annual Amounts (US\$)		9,937,237 ⁵	798,500		
12. Procurement agency: UNICEF. The Country shall release its co-financing payments each year to UNICEF.					
13. Self-procurement: Not applicable.					
14. Co-financing obligations: Reference code: 1720-SOM-04c-X-C According to the co-financing policy, the Country falls within the group Initial self-financing. The following table summarises the co-financing payment(s) and quantity of supply that will be procured with such funds in the relevant year.					
Type of supplies to be purchased with Country funds in each year		2018	2019	2020	
Number of vaccine doses		356,500	480,500	504,500	
Value of vaccine doses (US\$)		267,136			
Total co-financing payments (US\$) (including freight)		277,000	339,500	356,500	

¹ This is the entire duration of the Programme.

² This is the total amount endorsed by Gavi for the entire duration of the Programme.

³ This is the consolidated amount for all previous years. Annual amounts prior to 2017 include the costs of injection safety devices. Annual amounts for 2017 onwards include only vaccine costs and freight.

⁴ This is the amount that Gavi has approved.

⁵ This is the consolidated amount for all previously approved years. Annual amounts prior to 2017 include the costs of injection safety devices. Annual amounts for 2017 onwards include only vaccine costs and freight.

15. Operational support for campaigns: Not applicable	
16. Additional reporting requirements: Not applicable	
Reports and other information	Due dates
To prepare for the annual procurement of vaccines, Country shall submit the following information in May each year: number of children to be vaccinated, vaccine stock levels including buffer stock, wastage rates, any proposed changes in presentation or minimum co-financing levels and vaccines received.	15 May
In accordance with applicable Gavi processes, Country shall report on programmatic and financial performance.	To be agreed with Secretariat
17. Financial clarifications: Not applicable.	
18. Other conditions: Not applicable.	

Signed by,



On behalf of Gavi
Hind Khatib-Othman
Managing Director, Country Programmes
22 December 2017

**Decision letter
Somalia - Support for Inactivated Polio Vaccine (IPV)**

This Decision Letter sets out the Programme Terms of a Programme.

1. Country: Somalia			
2. Grant number(s): 1518-SOM-25b-X / 15-SOM-08h-Y			
3. Date of Decision Letter: 22/12/2017			
4. Date of the Partnership Framework Agreement: Not applicable.			
5. Programme title: New Vaccine Support (NVS), IPV routine			
6. Vaccine type: Inactivated Polio Vaccine (IPV)			
7. Requested product presentation and formulation of vaccine⁶: Inactivated Polio Vaccine, 5 doses per vial, LIQUID			
8. Programme duration⁷: 2015 - 2018			
9. Indicative Programme Budget: (subject to the terms of the Partnership Framework Agreement, if applicable) <i>Please note that endorsed or approved amounts for 2019 will be communicated in due course, taking into account updated information on country requirements and following Gavi's review and approval processes.</i>			
	2015-2017	2018	Total⁸
Routine Programme (US\$)	1,536,712 ⁹	747,000	2,283,712
10. Vaccine introduction grant: US\$184,042 disbursed on 28 May 2015 and US\$199,458 disbursed on 05 June 2015 as per Decision Letter dated 12 February 2015			
11. Indicative Annual Amounts: (subject to the terms of the Partnership Framework Agreement, if applicable) ¹⁰			
	2015-2017	2018	
Number of vaccines to be purchased with Gavi funds			
IPV Routine Programme (doses)		385,800	
Annual Amounts (US\$)	1,536,712	747,000	
12. Procurement agency: UNICEF.			
13. Self-procurement: Not applicable.			
14. Co-financing obligations: Not applicable. Gavi's usual co-financing requirements do not apply to IPV. However, Somalia is encouraged to contribute to vaccine and/or supply costs for IPV.			
15. Operational support for catch-up campaigns: Not applicable.			
16. Additional reporting requirements:			
Reports and other information			Due dates
To prepare for the annual procurement of vaccines, Country shall submit the following information in May each year: number of children to be vaccinated, vaccine stock levels including buffer stock, wastage rates, any proposed changes in presentation or minimum co-financing levels and vaccines received.			15 May

⁶ Please refer to section 18 for additional information on IPV presentation.

⁷ This is the entire duration of the Programme.

⁸ This is the total amount endorsed by Gavi for 2015 to 2018.

⁹ This is the consolidated amount for all previous years. Annual amounts prior to 2017 include the costs of injection safety devices. Annual amounts for 2017 onwards include only vaccine costs and freight.

¹⁰ This is the amount that Gavi has approved.

In accordance with applicable Gavi processes, Country shall report on programmatic and financial performance.	To be agreed with Secretariat
17. Financial clarifications: Not applicable.	
18. Other conditions: Not applicable. Due to unprecedented demand for IPV and the challenges to scale up production capacities, the global IPV supply remains constrained and is unlikely to meet all country requirements in the short term. As a consequence, the actual presentation and number of doses shipped may differ from those specified in this Decision Letter. In order to mitigate these circumstances, you are strongly encouraged to optimally manage available supply and follow recommendations and guidelines from WHO and UNICEF to reduce vaccine wastage. If Somalia envisages a switch in product presentation, it is encouraged to incorporate elements for both IPV presentations in your initial introduction preparations, in order to minimise the need for later interventions and facilitate the switch. In those circumstances, in principle, no product switch grant will be provided to Somalia.	

Signed by,
On behalf of Gavi



Hind Khatib-Othman
Managing Director, Country Programmes
22 December 2017

**Decision letter
Somalia Support for Injection Safety Devices**

This Decision Letter sets out the Programme Terms of a Programme.

1. Country: Somalia					
2. Grant number: 17-SOM-32a-X; 18-SOM-32a-X; 19-SOM-32a-X; 20-SOM-32a-X					
3. Date of Decision Letter: 22/12/2017					
4. Date of the Partnership Framework Agreement: Not applicable.					
5. Programme title: Injection safety devices ¹¹					
6. Programme duration ¹² : 2017-2020					
7. Programme Budget (indicative): (subject to the terms of the partnership framework agreement, if applicable)					
	2017	2018	2019	2020	Total ¹³
Programme Budget (US\$)	51,000	66,500	65,000	68,500	251,000
8. Indicative Annual Amounts: (subject to the terms of the Partnership Framework Agreement, if applicable) ¹⁴					
TOTAL injection safety devices to be purchased with Gavi funds in each year			2017	2018	
Number of AD syringes				1,461,300	
Number of safety boxes				16,100	
Annual Amounts for injection safety devices for all Gavi vaccines (US\$)			51,000	66,500	
Injection safety devices to be purchased with Gavi funds in each year, <u>by type of support</u>					
New Vaccine Support (NVS), Inactivated Polio Vaccine, 5 doses per vial, LIQUID, Routine				2018	
Number of AD syringes				351,500	
Number of safety boxes				3,875	
Annual Amounts for injection safety devices for Vaccine (US\$)				16,000	
New Vaccine Support (NVS), DTP-HepB-Hib, 10 doses per vial, LIQUID, Routine				2018	
Number of AD syringes				1,109,800	
Number of safety boxes				12,225	
Annual Amounts for injection safety devices for Vaccine (US\$)				50,500	
9. Procurement agency: UNICEF. The Country shall release its co-financing payments each year to UNICEF.					

¹¹ This does not include vaccines.

¹² This is the entire duration of the Programme.

¹³ This is the total amount endorsed by Gavi for the entire duration of the Programme.

¹⁴ This is the amount that Gavi has approved.

10. Self-procurement: Not applicable.

11. Co-financing obligations: Co-financing requirements are listed in the relevant vaccine Decision Letter.

Signed by,

Hind A. Khatib

On behalf of Gavi

Hind Khatib-Othman

Managing Director, Country Programmes

22 December 2017

Description of Gavi support to «Somalia» (the “Country”)

New Vaccines Support (NVS)

Gavi, The Vaccine Alliance has approved the Country’s request for supply of vaccine doses and related injection safety material which are estimated to be required for the immunization programme as set out in Appendix A, B and C. Financing provided by Gavi for vaccines will be in accordance with:

- The Gavi Guidelines governing Country’s proposal application; and
- The final proposal as approved by the Independent Review Committee (IRC), including any subsequent clarifications.

The vaccines provided will be used as the country has proposed. The principles of the WHO-UNICEF-UNFPA joint statement on safety of injections (WHO/V&B/99.25) shall apply to all immunisation provided with these vaccines.

Any required taxes, customs, toll or other duties imposed on the importation of vaccines and related supplies cannot be paid for using Gavi funds.

The Country shall be solely responsible for any liability that may arise in connection with: (i) the implementation of any programmes in the Country; and (ii) the use or distribution of vaccines and related supplies after title to such supplies has passed to the Country. Gavi shall not be responsible for providing any additional funding to replace any vaccines and related supplies that are, or became, defective or disqualified for whatever reason.

Country Co-financing

In accordance with the Gavi Co-financing Policy, the Country has agreed to make the required contribution to co-financing vaccine doses as indicated in the Decision Letters. Item number 14 of Appendix A and B summarises the budget and the quantity of supply that will be procured with country’s funds in the corresponding timeframe. The total co-financing amount indicates costs for the vaccines, related injection safety devices (only applicable to intermediate and graduating countries) and freight.

Countries may select to co-finance through UNICEF Supply Division or self-procure their co-financing requirement following their own procedures, except for the Pneumococcal vaccine that needs to be procured through UNICEF.

If the purchase of the co-financed supply is carried out through UNICEF, the payment is to be made to UNICEF as agreed in the Procurement Services Memorandum of Understanding between UNICEF and the country, and not to Gavi. Please keep in contact with UNICEF to understand the availability of the relevant vaccines and to prepare the schedule of deliveries.

The total co-financing amount expressed in item number 14 of Appendix A, B and C does not contain costs and fees of the relevant Procurement Agency, such as contingency buffer and handling fees.

Information on these extra costs and fees will be provided by the relevant Procurement Agency as part of the cost estimate to be requested by the country. UNICEF will share information with Gavi on the status of purchase of the co-financed supply. In accordance with the Gavi Co-financing Policy (<http://www.gavi.org/about/governance/programme-policies/co-financing/>), the co-financing contribution is payable annually to UNICEF.

If the purchase of the co-financed supply is carried out by the Government, following its own procurement procedures and not procuring from UNICEF Supply Division, the Government must submit to Gavi satisfactory evidence that it has purchased its co-financed portion of the vaccines and related supplies, including by submitting purchase orders, invoices, and receipts to Gavi. Gavi encourages that countries self-procuring co-financed products (i.e. auto-disable syringes and syringe and needle disposal boxes) ensure that products appear on the applicable WHO list of pre-qualified products or, for syringe and needle disposal boxes, that they have obtained a certificate of quality issued by a relevant national authority.

Gavi support will only be provided if the Country complies with the following requirements:

Transparency and Accountability Policy (TAP): Compliance with any TAP requirements pursuant to the Gavi TAP Policy and the requirements under any Aide Memoire concluded between Gavi and the country.

Financial Statements & External Audits: Compliance with the Gavi requirements relating to financial statements and external audits.

Grant Terms and Conditions: Compliance with Gavi's standard grant terms and conditions (attached in Appendix E).

Country Co-financing: Gavi must receive proof of country co-payment from the Country such as invoices or shipment receipts if neither UNICEF is the procurement agent for country co-financed vaccine for the prior calendar year.

Monitoring and reporting: Country's use of financial support for the introduction of new vaccinations with the vaccines specified in Appendix A and B is subject to strict performance monitoring. Gavi uses country systems for monitoring and auditing performance and other data sources including WHO/UNICEF immunisation coverage estimates. As part of this process, National Authorities will be requested to monitor and report on the numbers of children immunized and on co-financing of the vaccine.

Country will report on the achievements and request support for the following year on the Gavi country portal. Further information and training on the portal is available. The portal must contain information on the number of children reported to have been vaccinated with DTP3 and 3 doses of pentavalent vaccine by age 12 months, based on district monthly reports reviewed by the Immunisation Coordination Committee (ICC), and as reported to WHO and UNICEF in the annual Joint Reporting Form (JRF). The portal will also contain information on country's compliance with the co-financing arrangements outlined in this letter. The NVS request for support for the following year, endorsed by the ICC, should be sent to the Gavi Secretariat no later than 15 May every year. Continued funding beyond what is being approved in this letter is conditional upon a fully up to date country portal and availability of funds.

GAVI GRANT APPLICATION TERMS AND CONDITIONS

FUNDING USED SOLELY FOR APPROVED PROGRAMMES

The applicant country ("Country") confirms that all funding provided by Gavi will be used and applied for the sole purpose of fulfilling the programme(s) described in the Country's application. Any significant change from the approved programme(s) must be reviewed and approved in advance by Gavi. All funding decisions for the application are made at the discretion of Gavi and are subject to IRC processes and the availability of funds.

AMENDMENT TO THE APPLICATION

The Country will notify Gavi in its Joint Appraisal, or in any other agreed annual reporting mechanism, if it wishes to propose any change to the programme(s) description in its application. Gavi will document any change approved by Gavi according with its guidelines, and the Country's application will be amended.

RETURN OF FUNDS

The Country agrees to reimburse to Gavi all funding amounts that Gavi determines not to have been used for the programme(s) described in its application. The Country's reimbursement must be in US dollars and be provided, unless otherwise decided by Gavi, within sixty (60) days after the Country receives Gavi's request for a reimbursement and be paid to the account or accounts as directed by Gavi.

SUSPENSION/ TERMINATION

Gavi may suspend all or part of its funding to the Country if it has reason to suspect that funds have been used for purpose other than for the programme(s) described in the Country's application, or any Gavi-approved amendment to the application. Gavi retains the right to terminate its support to the Country for the programme(s) described in its application if a misuse of Gavi funds is confirmed.

NO LIABILITY

The Country shall be solely responsible for any liability that may arise in connection with: (i) the implementation of any programme(s) in the Country; and (ii) the use or distribution of vaccines and related supplies after title to such supplies has passed to the Country. Neither party shall be responsible for any defect in vaccines and related supplies, which remain the responsibility of the relevant manufacturer. Gavi shall not be responsible for providing any additional funding to replace any vaccines and related supplies that are, or became, defective or disqualified for whatever reason.

INSURANCE

Unless otherwise agreed with Gavi, the Country shall maintain, where available at a reasonable cost, all risk property insurance on the Programme assets (including vaccines and vaccine related supplies) and comprehensive general liability insurance with financially sound and reputable insurance companies. The insurance coverage will be consistent with that held by similar entities engaged in comparable activities.

ANTI-CORRUPTION

The Country confirms that funds provided by Gavi shall not be offered by the Country to any third person, nor will the Country seek in connection with its application any gift, payment or benefit directly or indirectly that could be construed as an illegal or corrupt practice.

ANTI-TERRORISM AND MONEY LAUNDERING

The Country confirms that funds provided by Gavi shall not be used to support or promote violence, war or the suppression of the general populace of any country, aid terrorists or their activities, conduct money laundering or fund organisations or individuals associated with terrorism or that are involved in money-laundering activities; or to pay or import goods, if such payment or import, to the Country's knowledge or belief, is prohibited by the United Nations Security Council.

AUDITS AND RECORDS

The Country will conduct annual financial audits, and share these with Gavi, as requested. Gavi reserves the right, on its own or through an agent, to perform audits or other financial management assessment to ensure the accountability of funds disbursed to the Country. The Country will maintain accurate accounting records documenting how Gavi funds are used. The Country will maintain its accounting records in accordance with its government-approved accounting standards for at least three years after the date of last disbursement of Gavi funds. If there is any claims of misuse of funds, Country will maintain such records until the audit findings are final. The Country agrees not to assert any documentary privilege against Gavi in connection with any audit.

CONFIRMATION OF LEGAL VALIDITY

The Country and the signatories for the Country confirm that its application, or any other agreed annual reporting mechanism, is accurate and correct and forms legally binding obligations on the Country, under the Country's law, to perform the programme(s) described in its application, as amended, if applicable.

COMPLIANCE WITH GAVI POLICIES

The Country confirms that it is familiar with all Gavi policies, guidelines and processes relevant to the programme(s), including without limitation the Transparency and Accountability Policy (TAP) and complies with the requirements therein. All programme related policies, guidelines and processes are available on Gavi's official website and/or sent to the Country.

USE OF COMMERCIAL BANK ACCOUNTS

The Country is responsible for undertaking the necessary due diligence on all commercial banks used to manage Gavi cash-based support. The Country confirms that it will take all responsibility for replenishing Gavi cash support lost due to bank insolvency, fraud or any other unforeseen event.

ARBITRATION

Any dispute between the Country and Gavi arising out of or relating to its application that is not settled amicably within a reasonable period of time, will be submitted to arbitration at the request of either Gavi or the Country. The arbitration will be conducted in accordance with the then-current UNCITRAL Arbitration Rules. The parties agree to be bound by the arbitration award, as the final adjudication of any such dispute. The place of arbitration will be Geneva, Switzerland. The languages of the arbitration will be English or French.

For any dispute for which the amount at issue is US\$ 100,000 or less, there will be one arbitrator appointed by Gavi. For any dispute for which the amount at issue is greater than US \$100,000 there will be three arbitrators appointed as follows: Gavi and the Country will each appoint one arbitrator, and the two arbitrators so appointed will jointly appoint a third arbitrator who shall be the chairperson. Gavi will not be liable to the country for any claim or loss relating to the programme(s) described in the application, including without limitation, any financial loss, reliance claims, any harm to property, or personal injury or death. The Country is solely responsible for all aspects of managing and implementing the programme(s) described in its application.

High Level Review Panel Recommendations

The Panel, following its deliberations:

- Recommended to the CEO:

Programme	Recommendation	Period	Indicative amount to be paid by Gavi
<i>NVS - Pentavalent</i> in existing presentation	Renewal	2018	US\$ 1,383,500
<i>NVS - IPV</i> in existing presentation	Renewal	2018	US\$ 763,000

- Emphasized that the funds resulting from the increased HSS ceilings should retain a highly strategic focus on outreach, Internally Displaced People (IDPs) and demand generation activities.
- Highlighted the importance of the actions point and TA needs resulting from the Joint Appraisal and emphasized in particular the need to strengthen coordination and planning of EPI program through the improvement of capacities of district and regional management teams, and the development of Annual EPI Operational Plans. Furthermore, the Panel urged the country to using alternative solutions if national capacity not yet in place, and focus on capacity building as a longer term objective.
- Urged WHO to complete the financial reporting on the use of previous HSS tranches and to ensure timely implementation of the grant;